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2177

MP #7
6/20/02
A.W.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Douglas P. Brown et al.

§ Group Art Unit:

Serial No.: 10/039,283

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Filed: December 31, 2001

For: EMULATING A DATABASE
SYSTEM

Examiner:

Atty. Dkt. No.: 10158 (NCR.000823US)

Box Missing Parts
Commissioner for Patents
Washington DC 20231JUN 7 2002
Technology Center 2100**REQUEST TO WITHDRAW NOTICE OF INCOMPLETE REPLY**

Dear Sir:

The required drawings were submitted in a response mailed on March 28, 2002, within the two-month deadline of the Notice to File Missing Parts dated January 31, 2002. Copies of the Response, along with the stamped postcard indicating receipt by the U.S. Patent Office, are submitted herewith.

As all required items have been submitted, it is respectfully requested that the Notice of Incomplete Reply be withdrawn. Please apply any charges and/or credits to Deposit Account 50-1673 (10158). A duplicate of this paper is attached.

Respectfully submitted,

Date:

5-7-02

Dan C. Hu
Registration No. 40,025
TROP, PRUNER & HU, P.C.
8554 Katy Freeway, Suite 100
Houston, Texas 77024
(713) 468-8880 [Phone]
(713) 468-8883 [Fax]

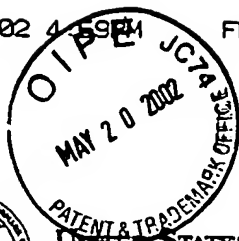
Date of Deposit: May 7, 2002

I hereby certify under 37 CFR 1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated above and is addressed to the Commissioner for Patents, Box Missing Parts, Washington DC 20231.

Dawn L. Thomas

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Page 1 of 1



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 10/039,283 | 12/31/2001 | Douglas P. Brown | 10158 (NCR-0068P2US) |

CONFIRMATION NO. 1213

FORMALITIES LETTER



OC000000007756759

John D. Cowart
NCR Corporation
Law Department IP WHQ-4W
1700 S. Patterson Blvd.
Dayton, OH 45479

Date Mailed: 04/11/2002

NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)

Filing Date Granted

RECEIVED
JUN 07 2002
Technology Center 2100

The U.S. Patent and Trademark Office has received your reply on 03/13/2002 to the Notice to File Missing Parts (Notice) mailed 01/31/2002 and it has been entered into the nonprovisional application. The reply, however, does not include the following items required in the Notice.

The period of reply remains as set forth in the Notice. You may, however, obtain EXTENSIONS OF TIME under the provisions of 37 CFR 1.136 (a) accompanied by the appropriate fee (37 CFR 1.17(a)).

A complete reply must be timely filed to prevent ABANDONMENT of the above-identified application.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

A copy of this notice MUST be returned with the reply.

RECEIVED
APR 09 2002

Trop, Pruner, & Hu, P.C.

J. Hernandez
Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

DOCKET INFORMATION

Base Rate: 1-31-02
Due Date: 4-30-02 (w/ 1 ms. ext.)
Action: Corrected Drawings Due
☒ Docket ☐ Excel ☒ Access
☐ Pat. App ☐ Abst./Title Pg ☐ Draw. (I/F)



COPY OF PAPERS
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Douglas P. Brown et al.

Serial No.: 10/039,283

Filed: December 31, 2001

For: EMULATING A DATABASE
SYSTEM

§ Group Art Unit:

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Examiner:

Atty. Dkt. No.: 10158 (NCR.0068P2US)

Box Missing Parts
Commissioner for Patents
Washington DC 20231

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JUN 07 2002
Technology Center 2100


**RESPONSE TO "NOTICE TO FILE MISSING PARTS OF
NONPROVISIONAL APPLICATION"**

Dear Sir:

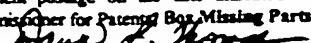
A copy of the "Notice to File Missing Parts of Nonprovisional Application" mailed for this application on January 31, 2002, is attached. In response to the requirements of the Notice, Applicant submits herewith 13 sheets of drawings. Please apply any charges or credits to Deposit Account 50-1673 (10158). A duplicate of this paper is attached.

Respectfully submitted,

Date: 3/28/02



Dan C. Hu
Registration No. 40,025
TROP, PRUNER & HU, P.C.
8554 Katy Freeway, Suite 100
Houston, Texas 77024
(713) 468-8880 [Phone]
(713) 468-8883 [Fax]

Date of Deposit: March 28, 2002
I hereby certify under 37 CFR 1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated above and is addressed to the Commissioner for Patents, Box Missing Parts, Washington DC 20231.


Dawn L. Thomas



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Page 1 of 2

UNITED STATES PATENT AND TRADEMARK

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 10/039,283 | 12/31/2001 | Douglas P. Brown | 10158 (NCRC-0068-IJS) |

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FEB 8 2002

John D. Cowart
NCR Corporation
Law Department IP WHQ
1700 S. Patterson Blvd.
Dayton, OH 45479

CONFIRMATION NO. 1213

FORMALITIES LETTER



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Date Mailed: 01/31/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

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JUN 07 2002

Technology Center 2100

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$282.
 - \$198 for 11 total claims over 20.
 - \$84 for 1 independent claims over 3.
- The signature of the following inventor(s) is missing from the oath or declaration:
Mark W. Sirok, Jeetendra Chaware
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(f) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1162.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment.

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

C. Robinson

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY



RECEIVED IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attorney Docket No.: 10158 (NCR.0068P2US)
Date: March 28, 2002

DCH/dlt

The Patent and Trademark Office date stamp sets forth the receipt date (or both the receive date and the serial no.) of a patent application identified as follows:

Applicant(s): DOUGLAS P. BROWN, MARK W. SIREK, AND JEETENDRA CHAWARE
Serial No.: 10/039,283
Filing Date: December 31, 2001
Title: EMULATING A DATABASE SYSTEM

1. Response to Notice to File Missing Parts of Nonprovisional Application in duplicate
2. Copy of Notice to File Missing Parts of Nonprovisional Application
3. Formal Drawings 13 sheets, Figs. 1-13
4. Postcard



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JUN 07 2002

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